

HIV Diagnosis and Pathogenesis

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HIV Diagnosis

- Consider in anyone presenting with symptoms and signs compatible with an HIV-related syndrome or in an asymptomatic person with a risk factor for acquisition
- Full sexual and behavioral history should be taken in all patients
 - Assumptions of risk (or lack thereof) by clinicians are unreliable

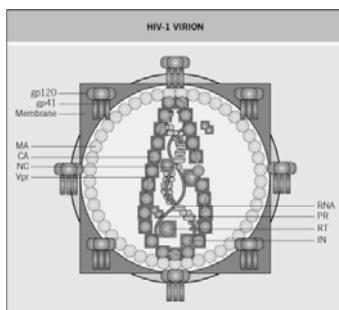
Laboratory Diagnosis of Established HIV Infection: Antibody Detection

- Screening
 - Serum ELISA
 - Rapid blood or salivary Ab tests
- Confirmation
 - Western blot
- Written consent for HIV Ab testing must be obtained and be accompanied by pre- and post-test counselling

Laboratory Diagnosis of Acute HIV-1 Infection

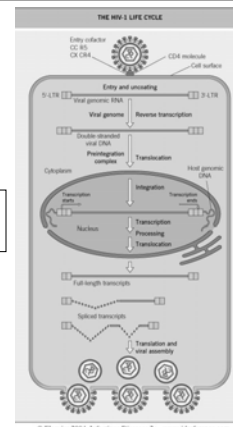
- Patients with acute HIV infection may present to a health care facility before full antibody seroconversion
 - ELISA may be negative
 - ELISA may be positive with negative or indeterminate Western blot
- Plasma HIV-1 RNA level should be done if acute HIV infection is suspected
- Follow-up antibody testing should be performed to document full seroconversion (positive ELISA and WB)

HIV-1 Virion

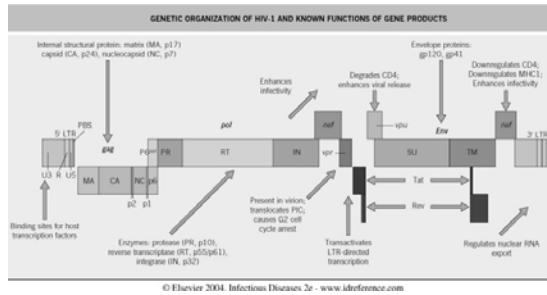


HIV Life Cycle

Tat = transcriptional activator
 Rev = regulator of mRNA nuclear export



HIV-1: Genetic Organization



Established HIV Infection: Pathogenesis

- Active viral replication present throughout course of disease
- Major reservoirs of infection exist outside of blood compartment
 - Lymphoreticular tissues
 - Central nervous system
 - Genital tract
- Virus exists as multiple quasispecies
 - Mixtures of viruses with differential phenotypic and genotypic characteristics may coexist
- At least 10×10^9 virions produced and destroyed each day
- $T_{1/2}$ of HIV in plasma is <6 h and may be as short as 30 minutes
- Immune response, chemokine receptor status and HLA type are important codeterminants of outcome

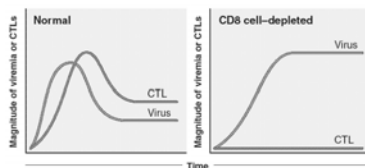
Determinants of Outcome: Selected Viral Factors

- Escape from immune response
 - Under immune selective pressure (cellular and humoral), mutations in *gag*, *pol* and *env* may arise
- Attenuation
 - *nef* deleted viruses associated with slow or long-term nonprogression in case reports and small cohorts
- Tropism
 - R5 to X4 virus conversion associated with increased viral pathogenicity and disease progression
- Subtypes
 - Potential for varied subtypes to exhibit differential transmissibility and virulence
 - » Potential for greater heterosexual spread of some subtypes

Host Factors in HIV Infection (I)

- Cell-mediated immunity
 - Cytotoxic T cells
 - » Eliminate virus infected cells
 - » Play prominent role in control of viremia, slowing of disease progression and perhaps prevention of infection
 - T-helper response
 - » Vital for preservation of CTL response
- Humoral immunity
 - Role in prevention of transmission and disease progression unclear

Role of CTL's in Control of Viremia



Letvin N & Walker B: Nature Med 2003;9:861-866

Host Factors in HIV Infection (II)

- Chemokine receptors
 - CCR5-Δ32 deletion
 - » Homozygosity associated with decreased susceptibility to R5 virus infection
 - » Heterozygosity associated with delayed disease progression
 - CCR2-V64I mutation
 - » Heterozygosity associated with delayed disease progression
 - CCR5 promoter polymorphisms
 - » 59029-G homozygosity associated with slower disease progression
 - » 59356-T homozygosity associated with increased perinatal transmission

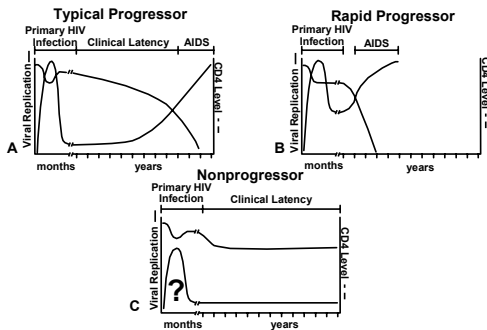
Host Factors in HIV Infection (III)

- Other genetic factors
 - Class I alleles B35 and C ω 4
 - » Associated with accelerated disease progression
 - Heterozygosity at all HLA class I loci
 - » Appear to be protective
 - HLA-B57, HLA-B27, HLA-B ω 4, HLA-B*5701
 - » Associated with long-term non-progression
 - HLA-B14 and HLA-C8
 - » ?Associated with long-term nonprogression

Mechanisms of CD4+ Cell Death in HIV Infection

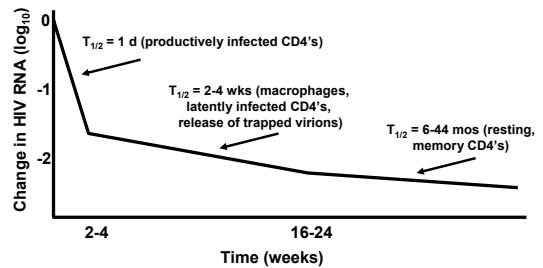
- HIV-infected cells
 - Direct cytolytic effect of HIV
 - Lysis by CTL's
 - Apoptosis
 - » Potentiated by viral gp120, Tat, Nef, Vpu
- HIV-uninfected cells
 - Apoptosis
 - » Release of gp120, Tat, Nef, Vpu by neighboring, infected cells
 - Activation induced cell death

The Variable Course of HIV-1 Infection



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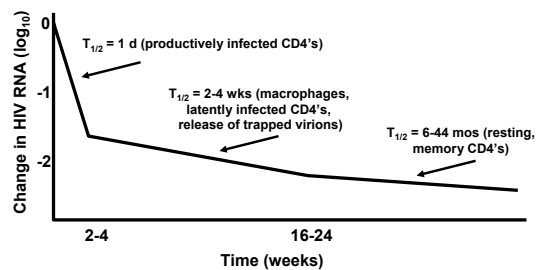
Phases of Decay Under the Influence of Potent Antiretroviral Therapy

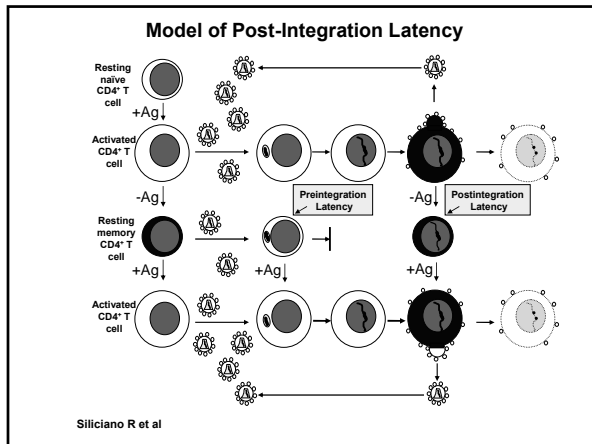


Therapeutic Implications of First and Second Phase HIV RNA Declines

- Antiviral potency can be assessed in first 7-14 days
 - Should see 1-2 log declines after initiation of therapy in persons with drug susceptible virus who are adherent
- HIV RNA trajectory in first 1-8 weeks can be predictive of subsequent response
 - Durability of response translates into clinical benefit

Phases of Decay Under the Influence of Potent Antiretroviral Therapy





Therapeutic Implications of Third Phase of HIV RNA Decay: Latent Cell Reservoir

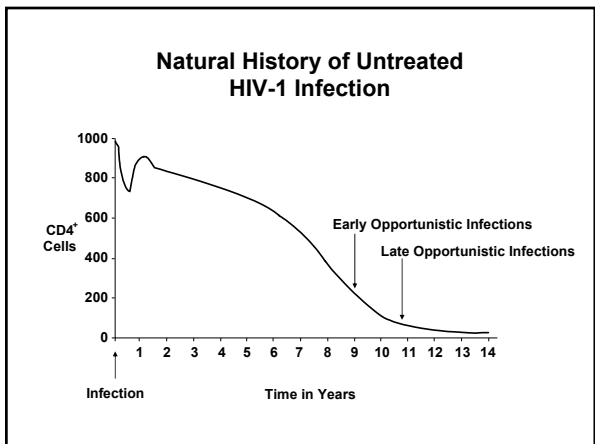
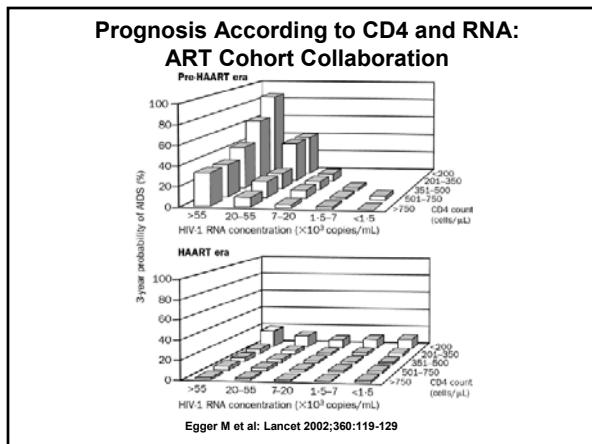
- Viral eradication not possible with current drugs
- Archive of replication competent virus history is established
 - Drug susceptible and resistant
- Despite the presence of reservoir(s), minimal degree of viral evolution observed in patients with plasma HIV RNA levels <50 c/ml suggests that current approach designed to achieve maximum virus suppression is appropriate

Initiation of Therapy in Established HIV Infection: Considerations

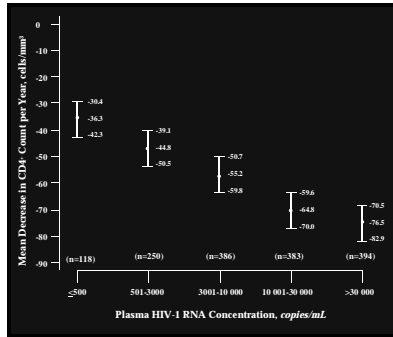
- Patient's disease stage
 - Symptomatic status
 - CD4 cell count
 - Plasma HIV-1 RNA level
- Patient's commitment to therapy
- Philosophy of treatment
 - Pros and cons of 'early' intervention

Initiation of Therapy in Asymptomatic Persons: Population Based Studies

- Clinical outcome compromised if Rx begun when CD4 <200
 - Miller et al (EuroSIDA), Ann Intern Med 1999;130:570-577
 - Hogg et al (British Columbia), JAMA 2001;286:2568
 - Sterling et al (JHU), AIDS 2001;15:2251-2257
 - Pallela et al (HOPS), Ann Intern Med 2003;138:620-626
 - Sterling et al (JHU), J Infect Dis 2003;188:1659-1665
- No virologic or immunologic advantage to starting at CD4 >350 vs. 200-350; increased rate of virologic failure when starting at CD4 <200
 - Cozzi-Lepri et al (ICONA), AIDS 2001;15:983-990
- Virologic responses comparable among groups with CD4 >200; slower decline to RNA <500 in those with RNA's >100,000 at baseline
 - Phillips et al (SHCS, EuroSIDA, Frankfurt), JAMA 2001;286:2560-2567
- Clinical outcome compromised if Rx begun when CD4 <200 or RNA >100,000
 - Egger et al (13 cohorts, >12,000 persons), Lancet 2002;360:119-129



MACS: CD4 Cell Decline by HIV RNA Stratum



Mellors et al: Ann Intern Med 1997;126:946-954

CD4 and HIV-1 RNA (I)

- Independent predictors of outcome in most studies
- Near-term risk defined by CD4
- Longer-term risk defined by both CD4 and HIV-1 RNA
- Rate of CD4 decline linked to HIV RNA level in untreated persons

CD4 and HIV-1 RNA (II)

- Good but incomplete surrogate markers
 - For both natural history and treatment effect
- Thresholds are arbitrary
 - Disease process is a biologic continuum
 - Gender specificity of HIV RNA in early-mid stage disease needs to be considered
- Treatment decisions should be individualized
 - Baseline should be established
 - Trajectory determined

HIV Resistance: Underlying Concepts

- Genetic variants are continuously produced as a result of high viral turnover and inherent error rate of RT
 - Mutations at each codon site occur daily
 - » Survival depends on replication competence and presence of drug or immune selective pressure
 - Double mutations in same genome also occur but 3 or more mutations in same genome is a rare event
 - Numerous natural polymorphisms exist

Pre-existence of Resistant Mutants

- Viral replication cycles: 10^9 - 10^{10} /day
- RT error rate: 10^{-4} - 10^{-5} /base/cycle
- HIV genome: 10^4 bp
- Every point mutation occurs 10^4 - 10^5 times/day
 - In drug naïve individuals
 - » Single and double mutants pre-exist
 - » Triple and quadruple mutants would be predicted to be rare

HIV Resistance: Underlying Concepts

- Implications
 - Resistance mutations may exist before drug exposure and may emerge quickly after it is introduced
 - Drugs which develop high level resistance with a single mutation are at greatest risk
 - » e.g., 3TC, NNRTI's (nevirapine, efavirenz)
 - Resistance to agents which require multiple mutations will evolve more slowly
 - Partially suppressive regimens will inevitably lead to emergence of resistance
 - A high 'genetic barrier' needs to be set to prevent resistance
 - » Potent, combination regimens

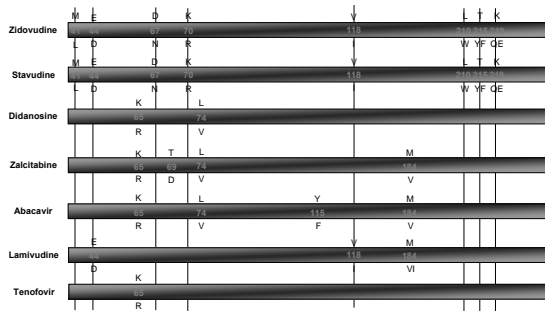
HIV Drug Resistance: Definitions

- **Genotype**
 - Determines phenotype
 - Major and minor mutations for PIs
- **Phenotype**
 - Drug susceptibility
- **Virtual phenotype**
 - Result of large relational genotype and phenotype database

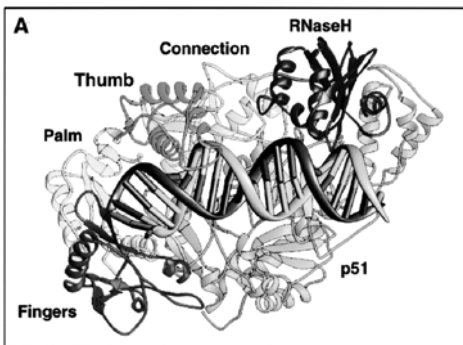
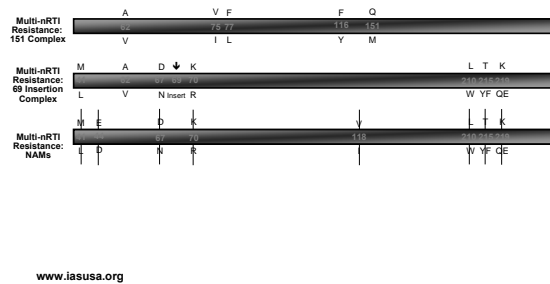
HIV Drug Resistance: Methodologies

- **Genotyping**
 - Different platforms
 - » Dideoxy sequencing
 - » Gene chip
 - » Point mutation assays
- **Phenotyping**
 - Recombinant virus assays
- **Virtual phenotyping**
 - Informatics

Mutations Associated with nRTIs/ntRTIs



Mutations Associated with nRTIs/ntRTIs



Nucleoside Analog Resistance

| TAM's (M41L, D67N, K70R, L210W, T215F/Y, K219Q/E/N) | M184V | K65R |
|---|--|---|
| Confer ZDV resistance thru ZDV-MP excision | Confers 3TC resistance thru decreased 3TC-TP incorporation | Confers non-ZDV NRTI resistance thru decreased analog incorporation |
| Antagonize K65R | Decreases ZDV resistance thru decreased ZDV-MP excision | Decreases ZDV resistance thru decreased ZDV-MP excision |

Pyrophosphorolysis

[R] HO

[R]

where R = AMP (ATP-dependant phosphorolysis)
or
R = H (pyrophosphorolysis)

Courtesy M. Parniak
Mellors, 9th CROI, 2002

Mutations Selected by NNRTIs

Multi-NNRTI Resistance: K V Y
103106 185

Multi-NNRTI Resistance: Accumulation of Mutations: L V Y G M
100 106 111 117

Nevirapine: L K V V Y Y G
100 103 106 108 111 115 119

Delavirdine: K V Y Y P
103106 181 183 185

Efavirenz: L K V V Y Y G P
100 103 106 108 111 115 119

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Mutations Selected by PIs

Multi-PI Resistance: Accumulation of Mutations: L M I V I L
32 54 59

Indinavir: L K L V M M I A G V V I L
32 33 34 35 36 37 38 39

Ritonavir: L K V L M M I A V V I L
32 33 34 35 36 37 38 39

Saquinavir: L M I V I L
32 33 34 35 36 37 38 39

Nelfinavir: L D M M A V V I N L
32 33 34 35 36 37 38 39

Amprenavir: L V M I I I G I L
32 33 34 35 36 37 38 39

Lopinavir/Ritonavir: L K L V L M I I F I L A G V I L
30 32 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55

Atazanavir: V M I I A V I N L
32 33 34 35 36 37 38 39

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Mutations in the GP41 Envelope Gene Associated With Resistance to Entry Inhibitors

Enfuvirtide

HR1 Region: G I V Q N N
36 37 38 39 40 41

D V A R T D
42 43 44 45 46 47

S M

