

Pulmonary Physiology

Pulmonary Physiology

- Control of Breathing
- Mechanics/Work of Breathing
- Ventilation
- Gas transport (including pulmonary circulation)
- Gas Exchange (including diffusion of gas/gas transfer)

- “When you can’t breathe, nothing else matters.”

Control of Breathing

- Keep PCO_2 40 mmHg awake
- Neural Control
- Chemical Control

Neural Control

- Inspiratory inhibition reflex (Hering Breuer); irritant, mechano, j receptors: stimulation in patients with, e.g., interstitial fibrosis, pulmonary embolism, atelectasis
- Stimulation of mechanoreceptors in airways: can cause tachypnea, bronchoconstriction

Chemical control

- CO₂ stimulation
- Hypoxemic stimulation
- H⁺ stimulation

Chemical Control: CO₂ stimulation

- Rise in PaCO₂ = increase in [H⁺] concentration in ECF and ventrolateral surface of the medulla, stimulating ventilation (hyperventilation)
- In turn, dilation of CNS blood vessels by increased CO₂ leads to increased removal of CO₂ and decrease in central CO₂ stimulation; also, the hyperventilation results in lower CO₂ to stimulate. Also, lower CO₂ = brain vessel constriction = buildup in ECF CO₂ again
- Chronically elevated PaCO₂ = increased ECF [HCO₃⁻] = elevated pH and [H⁺] so acute increase in PaCO₂ will induce less of a change in [H⁺] and therefore less stimulus to ventilation

Chemical Control: Hypoxemic Stimulation

- Peripheral chemoreceptors (carotid body, aorta) respond primarily to low PaO₂; also can respond to PCO₂ and [H⁺] as well as decreased blood flow and increased temperature
- Sleep depresses ventilatory stimulation; PaCO₂ rises by several mmHg in sleep (most in REM sleep)
- Low PaO₂=increased V_E, then decreased arterial and CNS CO₂ and [H⁺] = less central stimulus to breathe, [HCO₃⁻] reduced but [H⁺] restored in several days, and thus unopposed stimulus to breathe by low PO₂ remains

Chemical Control: Hydrogen ion stimulation

- Metabolic acidosis stimulates; alkalosis inhibits breathing primarily through peripheral chemoreceptors, ~ 7.30 to 7.50
- Acute metabolic acidemia = increased ventilation; then decreased PaCO_2 and CNS PCO_2 , decreased $[\text{H}^+]$, decreased $[\text{HCO}_3^-]$, and after 24 hours normalization of CNS $[\text{H}^+]$.
- So, chronically low $[\text{HCO}_3^-]$ = easier to stimulate by CO_2 . Conversely, met alkalosis = low VE; eventual increase in CNS $[\text{HCO}_3^-]$ = more difficult to stimulate by CO_2 to induce a rise in $[\text{H}^+]$
- Note: when WOB elevated, PCO_2 not as potent a stimulus to breathe

Mechanics of breathing

- Total mechanical work of breathing=overcoming elastic-resistive work+ flow-resistive work; in normal individual this applies to INSPIRATION.
- Severe airway obstruction:, may need expiratory work to overcome EXPIRATORY flow resistance
- Asthma= normal elastic resistance, high flow resistance; pulmonary fibrosis/stiff lungs (ARDS)= normal flow resistance, high elastic resistance and need for work to overcome this.

Mechanics of breathing

- Elastic forces: recoil of lungs and recoil of chest wall =equilibrium at FRC (functional residual capacity)
- *Elastance*= $\Delta P / \Delta V$; this is the distensibility of the respiratory system (lungs, chest wall)
- Lung volume dependent
- *Compliance*= $\Delta V / \Delta P$
- Healthy: Lung compliance~0.2 L/cmH₂O: eg, change inspiratory pressure 5 cmH₂O, 1.0 L air is inspired, =1 L/5 cmH₂O=0.2 L/cmH₂O

Mechanics of breathing

- Emphysema: increased compliance due to loss of elastic recoil pressure: e.g., change in inspiratory pressure 5 cmH₂O, 0.4 L air, or 0.4 L/cmH₂O compliance
- (Sounds like a good thing for inspiration, but less efficient expiration..)
- Pulmonary fibrosis: increased elastic recoil pressure (stiff lungs): 5 cmH₂O inspiratory pressure change with 0.5 L air inspired; compliance=0.10 L/cmH₂O

Mechanics of Breathing

- Transpulmonary pressure: difference between pleural pressure (usually measured as esophageal pressure) and mouth pressure static=no airflow
- Static compliance: relationship of transpulmonary pressure under static conditions (no airflow) to different degrees of lung inflation (volumes)
- Static inspiratory compliance= $V_T/P_{\text{plateau}}-PEEP$
- Volume vs pressure curve shifted up and to the left in patient with chronic airflow limitation (eg emphysema); shifted down and to the right in obesity and pulmonary fibrosis

Mechanics of Breathing

- Dynamic compliance: compliance determined during breathing
- Dynamic compliance= $V_T/P_{\text{dynamic}}-PEEP$

Mechanics of Breathing

- Inspiratory airway resistance=pressure difference across airways between mouth and alveoli= $P_{dynamic}-P_{plateau}/flow$ (normal= <4 cmH₂O/L/sec)
- Maximal inspiratory flow rate depends primarily upon muscular effort
- Expiration: higher volumes=higher flow rates, but once ~50% TLC, rate declines with greater effort because of dynamic airway compression
- Dynamic airway compression=more collapse of airways in expiration in emphysema (loss of elastance/increased compliance) as effort increases=gas trapping

Mechanics/Work of Breathing

- Note that low and high respiratory rates cause increased mechanical work of breathing:
- High rates=low lung volumes=need to increase total ventilation so that alveolar ventilation is maintained, since there is increased wasted (dead space) ventilation, so increased work to overcome flow resistance
- Slow rates=little flow resistive work because of low flow rates but must increase V_T to maintain alveolar ventilation; thus must use increased work to overcome elastic resistance

Mechanics/Work of Breathing

- Elastic resistance high (low compliance) = increased respiratory frequency; V_T usually low, so rapid, shallow breathing=least work
- eg, pulmonary fibrosis = low lung compliance; obesity, kyphoscoliosis = low chest wall compliance
- Flow resistance is high= decreased respiratory frequency ; generally deeper and slower breathing (eg, chronic airflow limitation)
- Note, however: with lung hyperinflation, volume pressure curve changes with decreasing compliance and the patient may breathe more rapidly and shallowly as well

Mechanics/Work of Breathing

- Metabolic work:
- Oxygen consumption (VO_2) in normals~1.0 ml/liter of ventilation; O_2 cost of breathing increases in patients with respiratory disorders due to the increased work required

Ventilation

- $PACO_2 = VCO_2/VA \times K$ (the constant is actually 863 mmHg, derived from ideal gas laws).
- The ratio of VCO_2/VA for normal people at rest, at sea level, is about 1/21.6; thus, normal $PACO_2 = 1/21.6 \times 863 \text{ mmHg} = \sim 40 \text{ mmHg}$.

Gas Transport: Pulmonary Circulation and Diffusion of Gas (Gas Transfer)

- Conduction of blood coming from the tissues through the alveolar capillaries so that O_2 can be added and CO_2 removed.
- Pulmonary vessels=low pressures and low resistance to flow (thin walled)
- Resistance=driving pressure/flow (Q)
- Most resistance in the arterioles and capillaries
- Driving pressure=pressure at the beginning of the pulmonary circulation (the pulmonary artery) and other end (left atrium); normally, eg, blood flow 6 L/min and mean driving pressure of 9 mmHg, resistance is 9/6 or 1.5 mmHg/L/min (~10% of systemic pressure).

Gas Transport: Pulmonary Circulation and Diffusion of Gas (Gas Transfer)

- Pulmonary capillary blood volume increases during inspiration and exercise
- Reduced when patients receive mechanical ventilation (intrathoracic pressure is raised, thus impeding venous return to the heart)
- Patients with increased pulmonary pressure (eg pulmonary hypertension, pulmonary embolism)=cardiodynamic consequences as well as disturbance of gas transfer

Gas Transport: Pulmonary Circulation and Diffusion of Gas (Gas Transfer)

- Transfer of O₂ and CO₂ between alveolar gas and pulmonary capillary blood is entirely passive, with the *rate of diffusion* of gas across alveolar-capillary barrier determined by (1) solubility of gas in liquid, (2) density of gas, (3) partial pressure difference between alveolar air and pulmonary capillary blood, and (4) surface area available for diffusion
- CO₂ diffusion not a clinical problem because CO₂ xmuch more soluble and diffusible than oxygen between air and blood
- Total diffusing capacity includes uptake by hemoglobin and rate of flow

Gas Transport: Pulmonary Circulation and Diffusion of Gas (Gas Transfer)

- Low diffusion capacity not typically low PaO_2 , since so much redundancy:
- Complete exposure of alveolar PO_2 to capillary blood=no decrease in end capillary PO_2 , even if there is less of it (low lung volume, low Hgb) and no change in AaDO_2 (note that if less of it, lower O_2 CONTENT, not PaO_2)
- But incomplete transfer = decrease in end capillary PO_2 and widened AaDO_2

“Diffusion Capacity” vs Diffusion

- **Note that: decreased diffusing capacity/gas transfer abnormality can result from numerous abnormalities not having anything to do with diffusion block itself**
- **So when we say diffusion abnormality=cause of hypoxemia, we mean those abnormalities which involve some form of diffusion block, or other inability to transfer gas completely (eg, low PIO_2 + increased circulatory time) so that insufficient transfer of alveolar PO_2 occur**
- **Low alveolar volume, low Hgb, may result in low diffusing capacity as measured by transfer of CO, and low O_2 content, but not low PaO_2**

Gas Transport: CO₂

- CO₂ in physical solution: most carried in RBCs either as bicarbonate, or bound to Hgb (carbaminoHgb)
- Some is dissolved in plasma

Gas Transport: Oxygen

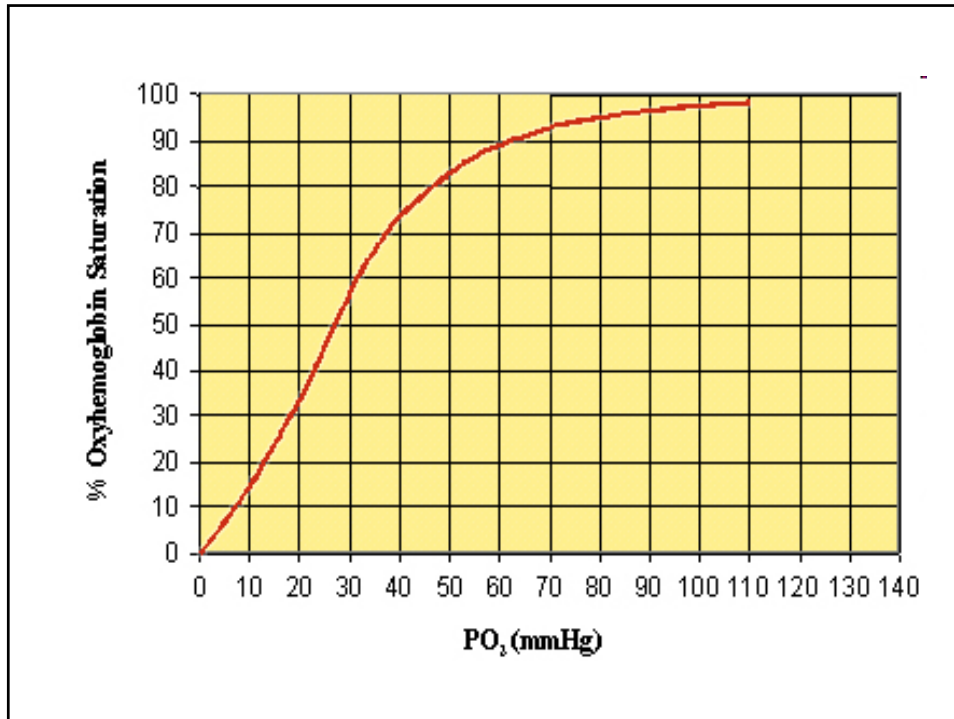
- O₂ combined with Hgb in RBCs, and dissolved O₂ in physical solution in the plasma
- Normal: 1 gm of Hgb able to combine chemically with 1.34 ml O₂
- Thus: O₂ capacity=1.34 ml O₂ /gmHgb
- If 15 gm Hgb/100 ml blood, O₂ capacity=20 ml O₂ /100 ml blood=200 ml O₂ /liter blood
- Dissolved O₂ = .003 ml O₂ /100 ml blood/mmHg
- $CaO_2 = SaO_2 \times [O_2 \text{ capacity} + \text{dissolved } O_2] / \text{mmHg}$
PaO₂
- If PaO₂ =100 mmHg, O₂ content = 200 ml O₂ /liter blood + 3 ml O₂ /liter blood = ~203 ml O₂ /liter blood x SaO₂

Hypoxemia

- Low partial pressure of O₂ in blood (PaO₂)
OR low O₂ content

Gas Transport: Pulmonary Circulation and Diffusion of Gas (Gas Transfer)

- Hypoxemia: hypoventilation, low P_IO₂, diffusion abnormality (must be severe if at rest), V/Q mismatch, shunt (note that shunt and diffusion block manifest similarly in corresponding areas of lung; diffusion abnormality (if not block) does NOT equal shunt)
- Note that low V/Q does not=shunt
- O₂ saturation=O₂ content/O₂ capacity x 100
- Degree of O₂ saturation depends on O₂ tension



Physiologic Causes of Hypoxemia

Alveolar Hypoventilation

Decreased P_{IO}2

Diffusion Abnormality

V/Q mismatch

Shunt

Physiologic Causes of Hypoxemia

Widening of AaDO₂:

Diffusion Abnormality

V/Q mismatch

Shunt

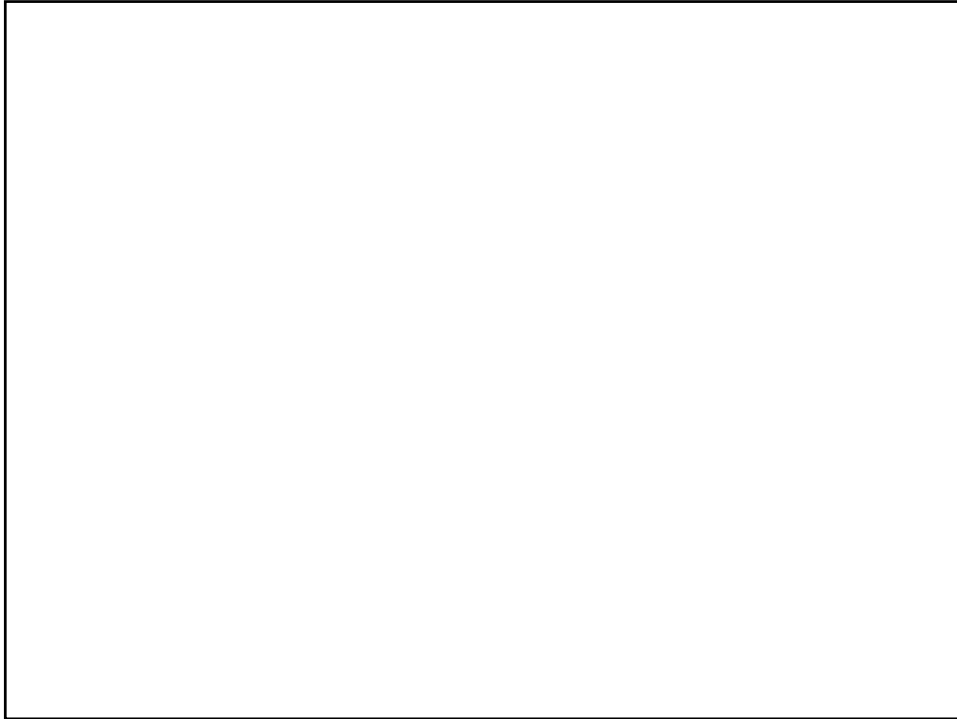
No widening of AaDO₂:

Hypoventilation

?Low PIO₂ (may slightly widen if impaired diffusion)

Gas Exchange

- Alveolar Gas Equation:
- $PAO_2 = FIO_2 \times (PB - PH_2O) - PCO_2/R + [PACO_2 \times FIO_2 \times 1 - R/R]$ (full)
- $PAO_2 = FIO_2 \times (PB - PH_2O) - PCO_2/R$ (simplified)
- R=Respiratory Exchange Ratio: (gas R=CO₂ added to alveolar gas by blood/amount of O₂ removed from alveolar gas by blood; low V/Q=low R); normal=0.8



Abnormal Ventilation,
Abnormal Gas Exchange

Good Moves

Two patients breathing room air at sea level:

1. $\text{PaO}_2=40$ mmHg, $\text{PaCO}_2=90$ mmHg:
2. $\text{PaO}_2=40$ mmHg, $\text{PaCO}_2=22$ mmHg:

Ventilation and Gas Exchange

- Objective: to achieve adequate tissue oxygenation and remove metabolically produced CO_2 .
- Ventilation: concerned with delivery of fresh volume of air to gas exchanging units, and the removal of a sufficient volume of mixed gas out
- Gas Exchange: the ability to move gas across the alveolar-capillary membrane

Ventilation and Gas Exchange

- The failure of either or both results in impaired arterial blood gases and ultimately *respiratory failure*.
- Ventilatory failure: *Hypercapnic respiratory failure*
- Gas exchange failure: *Hypoxemic respiratory failure*
- *Hypoxemia is the inevitable result of both*

Hypoxemia

- Low partial pressure of O₂ in blood (PaO₂)
OR low O₂ content (CaO₂: SaO₂ x O₂
carrying capacity + .03 ml O₂/l/mmHg
PaO₂)

Hypoxemia

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 - Low O₂ carrying capacity (1.34 ml O₂ /gm Hgb; if 15 gmHgb/100ml blood, then 20 ml O₂ /100ml blood, or 200 ml O₂ /liter of blood)
 - Low O₂ delivery (Ca O₂ x C.O.)

Physiologic Causes of Hypoxemia

Alveolar Hypoventilation

Decreased P_{IO_2}

Diffusion Abnormality

V/Q mismatch

Shunt

Ventilation

- Minute Ventilation (V_E)=tidal volume (V_T) x respiratory frequency (“dead space” volume not accounted for)
- Alveolar ventilation (V_A)=that part of minute ventilation which participates in gas exchange (that volume of fresh gas entering the respiratory exchange zone each minute)
- Alveolar ventilation=alveolar volume (tidal volume-dead space volume) x respiratory frequency

Ventilation

- Alveolar PCO₂ (PACO₂)= $V_{CO_2}/V_A \times K$
- VCO₂=CO₂ production
- V_A=alveolar ventilation
- Normal: VCO₂/V_A=1/21.6; K=863 mmHg, so PACO₂≈40mmHg))
- Alveolar PCO₂=CO₂ leaving lungs after gas exchange; directly reflects arterial PCO₂
- e.g., halving alveolar ventilation with constant CO₂ production will double the alveolar PCO₂
- e.g., doubling the alveolar PCO₂ reflects halved alveolar ventilation

Hypoventilation

- Inability to inspire and expire a volume of air/gas sufficient to meet metabolic demands
- Inability to bring a fresh volume of O₂ with each breath to the gas exchanging unit, and inability to remove CO₂ produced by metabolism.
- *Sine qua non*: Increased arterial PCO₂ (PaCO₂); decreased arterial PO₂ (PaO₂) breathing room air (*parallel changes!!*)

Hypoventilation/ Alveolar hypoventilation

- All hypoventilation concerns either :
- increased dead space/tidal volume ratio (anatomic or physiologic), or
- Decreased MINUTE ventilation (decreased tidal volume, and/or decreased respiratory rate)
- Each may result in alveolar hypoventilation (PaCO₂ elevated)

Alveolar Hypoventilation: 2 Clinical Pearls

- Does not widen the AaDO₂
- The hypoxemia may be readily ameliorated with supplemental O₂
- Challenge: Write a proof for this latter statement

Alveolar Gas Equation

- $PAO_2 = PIO_2 - PACO_2/R$
- $PAO_2 = PIO_2 - PACO_2/R + [PCO_2 \times FIO_2 \times 1-R/R]$

Alveolar Gas Equation

- $PAO_2 = PIO_2 - PACO_2/R$
- $PIO_2: FIO_2 (P_{atm} - P_{H_2O})$

Alveolar Gas Equation

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Alveolar Gas Equation

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Case History

- Room air: PaO₂=30 mmHg, PaCO₂=90 mmHg, pH=7.08
- PAO₂= 0.21 (760-47) -90/0.8

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- PAO₂= 0.21 (760-47) -90/0.8
- PAO₂=150-112.5=37.5
- AaDO₂=7.5 mmHg

Alveolar Hypoventilation

- CNS: central hypoventilation; infectious, traumatic, vascular damage to medullary centers; pharmacologic and sleep suppression of ventilatory drive

Alveolar Hypoventilation

- CNS: central hypoventilation; infectious, traumatic, vascular damage to medullary centers; pharmacologic and sleep suppression of ventilatory drive
- Peripheral nervous system/myoneural junction: poliomyelitis, Guillain-Barre, myasthenia gravis

Alveolar Hypoventilation

Respiratory muscles: muscular dystrophy, ALS, increased inspiratory loading (eg emphysema)

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Airway obstruction: upper airway, lower airway

Increased dead space ventilation: pulmonary embolism; COPD

Hypercapnic Respiratory Failure

- Primary deficit=hypoventilation without gas exchange abnormality
- Hypoxemia MUST result if patient breathing room air

AaDO₂ and Hypoxemia

- Widened in diffusion disorder, V/Q mismatch, and shunt
- Not widened in alveolar hypoventilation and decreased PIO₂
- Normal 10-15 mmHg in young adult

Climbing Everest (Decreased PIO₂)

- P atm= 250 mmHg
- PaCO₂=18 mmHg; R=1
- PAO₂=PIO₂-PCO₂/R
- PAO₂=.21 (250-47)-18/1=24.6 mmHg
- Recent data: altitude 8400m, mean PaO₂=30 mmHg, Mean AaDO₂ 5.4 mmHg (wider than expected): Grocott et al, NEJM 360;2: 141

Case History

- RA: PaO₂=70, PaCO₂=30 mmHg

Case History

- RA: PaO₂=70, PaCO₂=30 mmHg
- No treatment: RA PaO₂=50 mmHg, PaCO₂=28 mmHg
- What happened?

What happened?

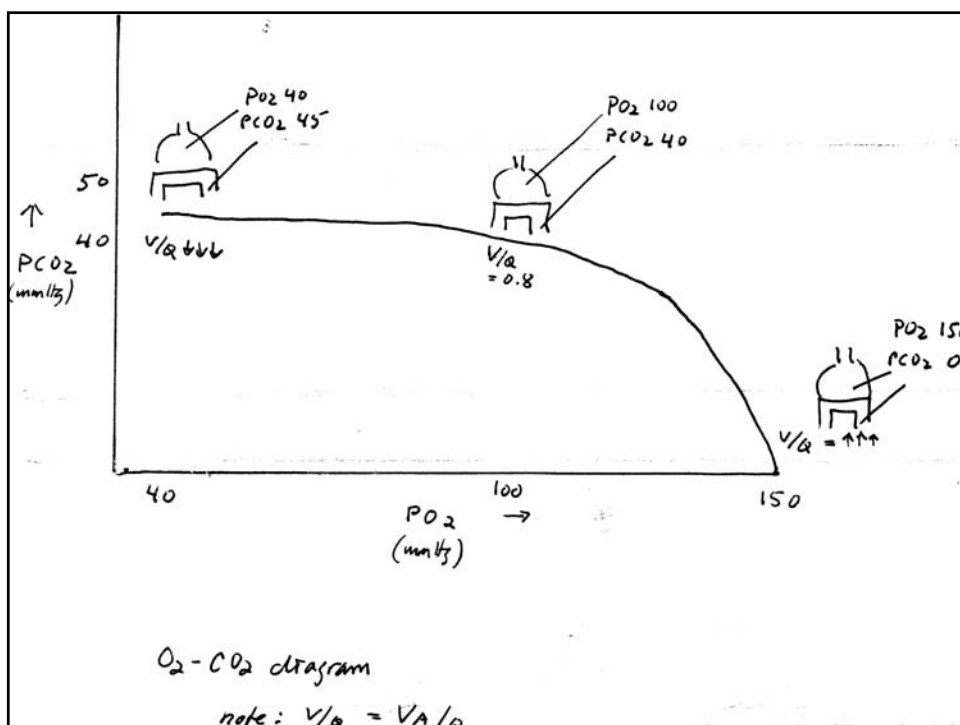
- $PAO_2 = PIO_2 - PACO_2/R$
- 0.21 FIO_2 , $PaO_2 = 50$ mmHg, $PaCO_2 = 28$ mmHg
- $PAO_2 = 0.21(713) - 28/0.8 = 150 - 35 = 115$ mmHg
- $AaDO_2 = 115 - 50 = 65$ mmHg

Hypoxemia

- No widening of $AaDO_2$: hypoventilation, low PIO_2 .
- Widened $AaDO_2$: shunt, low V/Q , low diffusing capacity
- Hypoxemia of each may be overcome with supplemental O_2 *except: shunt*.
- Note: no gas exchange = no amelioration of hypoxemia with O_2 , whether dead space, shunt, or no diffusion.

Low V/Q

- “Venous admixture”
- Alveolar filling: pneumonia, pulmonary edema (cardiogenic/non-cardiogenic)
- COPD a common situation of low V/Q
- Usually will involve some infinitely low V/Q (shunt) and decreased diffusion.



Low V/Q

- Low relationship of V to Q; NOT low ventilation in all alveolar capillary units
- That is, Low V/Q is NOT hypoventilation (unless all units are the same low V/Q)

Gas Transport: Pulmonary Circulation and Diffusion of Gas (Gas Transfer)

- Transfer of O₂ between alveolar gas and pulmonary capillary blood is entirely passive, with the *rate of diffusion* of gas across alveolar-capillary barrier determined by (1) solubility of gas in liquid, (2) density of gas, (3) partial pressure difference between alveolar air and pulmonary capillary blood, and (4) surface area available for diffusion
- Total diffusing capacity includes uptake by hemoglobin and rate of flow

Diffusion Abnormality

- Alveolar-capillary membrane thickening (pulmonary hypertension, pulmonary vasculitis, pulmonary embolism)
- Alveolar-capillary membrane destruction (emphysema)
- Pulmonary interstitial thickening (pulmonary fibrosis)
- Alveolar filling (pulmonary edema, pneumonitis)

Gas Transport: Pulmonary Circulation and Diffusion of Gas (Gas Transfer)

- Low gas transfer may also result from processes not clearly blocking diffusion, such as low Hgb, or increased rate of flow disallowing adequate gas transfer
- All diffusion abnormalities do not typically = low PaO_2 , or low O_2 content, since so much redundancy:
- Complete exposure of alveolar PO_2 to capillary blood = no decrease in end capillary PO_2 , even if there is less of it (low lung volume, low Hgb) and no change in AaDO_2 (note that if less of it, lower O_2 CONTENT, not PaO_2)
- But incomplete transfer = decrease in end capillary PO_2 and widened AaDO_2

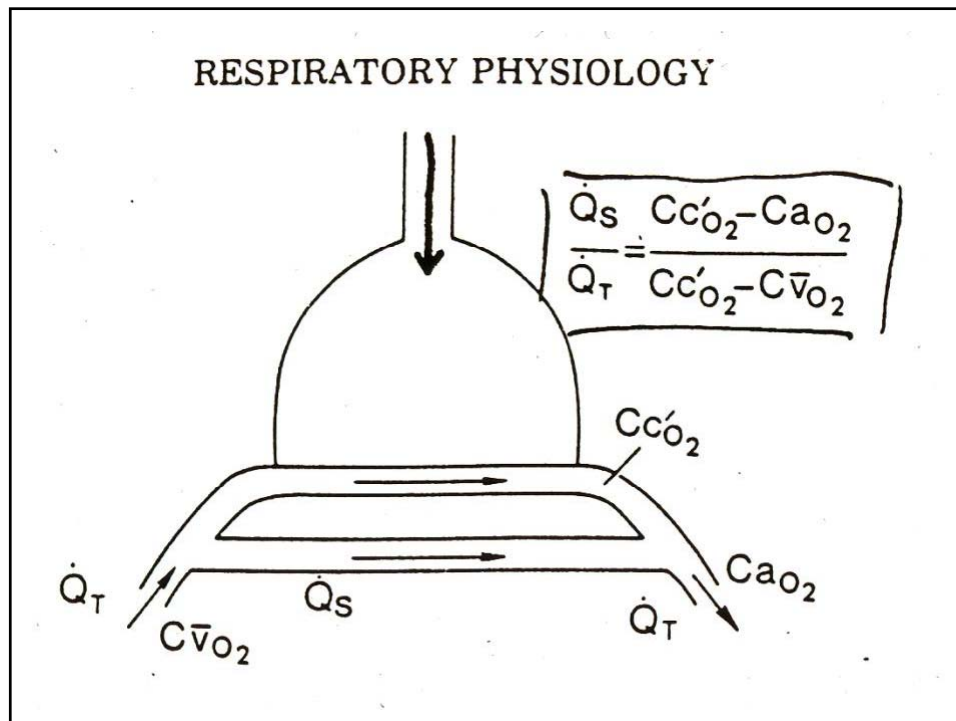
Gas Transport: Pulmonary Circulation and Diffusion of Gas (Gas Transfer)

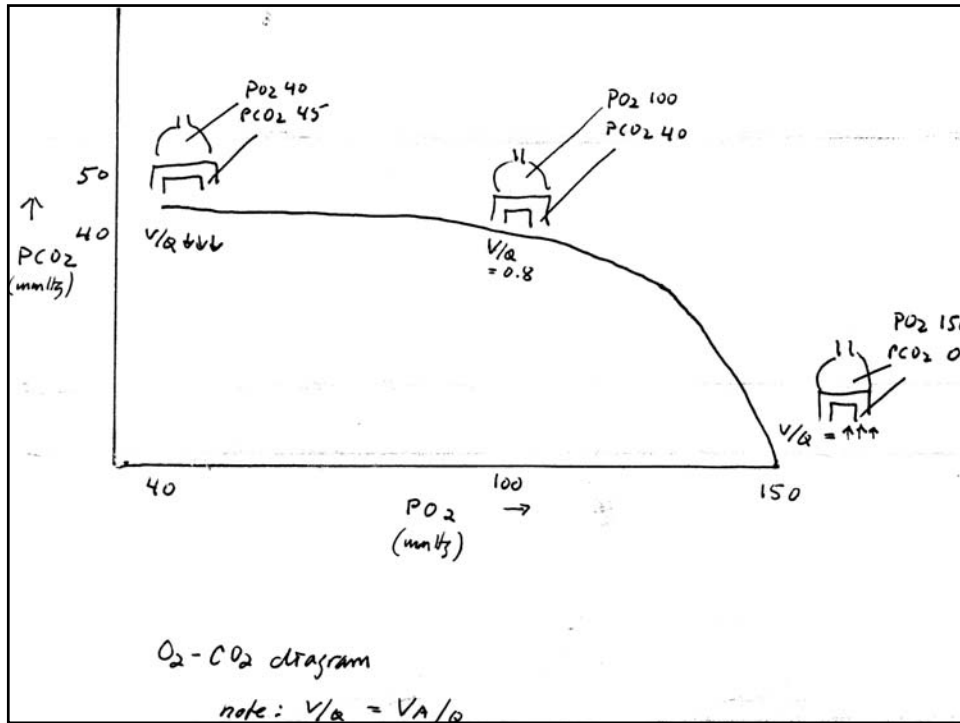
- *Diffusing capacity, measured diffusing capacity (DLCO), and diffusion of gases differ*
- *DLCO: not same properties as oxygen; different methods of measurement*
- *Low diffusion will cause low measured diffusing capacity, possibly low PaO₂, and widened AaDO₂, low diffusing capability because of non-diffusion reasons (eg low Hgb) will cause low measured diffusing capacity and low O₂ content but not decreased PaO₂ or widened AaDO₂*

Shunt

- Infinitely low V/Q (but NOT low V/Q)
- Supplemental O₂ will not raise PaO₂ with large shunt
- Clinical examples: ARDS, other severe pneumonia, cardiogenic pulmonary edema
- May also be cardiogenic R-L shunt

- Shunt Fraction (Q_s/Q_t): $Cc'O_2 - CaO_2 / Cc'O_2 - C\bar{v}O_2$ (normal <5%)
- Where CaO_2 is arterial O_2 content;
- $Cc'O_2$ is end capillary oxygen content;
- $C\bar{v}O_2$ is mixed venous (pulmonary artery) O_2 content





Hypoxemic Respiratory Failure

- Primary deficit=hypoxemia without hypoventilation, until late (?)
- Gas exchange abnormality: shunt, low V/Q , low diffusing capacity, all...

SUMMARY

- Hypoventilation: High PaCO₂, Low PaO₂, no widening of AaDO₂
- Gas exchange abnormality: Low PaO₂, normal or low PaCO₂, widened AaDO₂
- Hypoxemia of all hypoventilation and gas exchange abnormalities may be sufficiently overcome by supplemental O₂ unless gas exchange abnormality is *absolute (eg shunt)*

Good Moves

PaO₂=40 mmHg, PaCO₂=90 mmHg:

Severe alveolar hypoventilation; no gas exchange abnormality: ventilate, give oxygen if necessary; find and treat cause (s) of hypoventilation

PaO₂=40 mmHg, PaCO₂=22 mmHg:

Severe gas exchange abnormality: oxygenate; find and treat cause (s) of gas exchange problem (or low PIO₂)